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Catherine Vigil
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10/13/01
jc903 U.S. PTO
09/820504
03/29/01


IN THE UNITED STATES PATENT & TRADEMARK OFFICE

Applicant: Bakes et al. :

Serial No.: To be assigned : Group Art Unit: To be assigned

Filed: Herewith : Examiner: To be assigned

For: **METHOD AND SYSTEM FOR OPTIMIZING PRODUCT INVENTORY LEVELS**

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, DC 20231

Dear Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98 Applicant herewith submits certain references which the Patent & Trademark Office may wish to consider in examining the above-identified application. The references are listed on the attached Form PTO-1449.

Copies of the listed references are also provided. No representation is made or intended that a prior art search has been made or that no better art than that listed is available.

Please charge any fees required, or credit any overpayment, to Deposit Account No. 04-1133.

Respectfully submitted,

By

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FOR: METHOD AND SYSTEM FOR OPTIMIZING PRODUCT INVENTORY LEVELS

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Exam Init.		DOCUMENT NUMBER							DATE	NAME	CLASS	SUB CLASS
		4	8	8	7	2	0	7	12/12/89	Natarajan		
		5	1	2	8	8	6	1	07/07/92	Kagami et al.		
		5	2	3	7	4	9	6	08/17/93	Kagami et al.		
		5	2	8	7	2	6	7	02/15/94	Jayaraman et al.		
		5	5	9	6	4	9	3	01/21/97	Tone et al.		
		5	6	0	8	6	2	1	03/04/97	Caveney et al.		
		5	7	6	5	1	4	3	06/09/98	Sheldon et al.		
		5	7	8	9	7	3	2	08/04/98	McMahon et al.		
		5	8	1	9	2	3	2	10/06/98	Shipman		
		5	8	9	7	6	2	4	04/27/99	Ramaswamy		
		5	9	3	0	7	6	2	07/27/99	Masch		
		5	9	4	6	6	6	2	08/31/99	Ettl et al.		

FOREIGN PATENT DOCUMENTS

OTHER ART (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

Wheeler, Donald J., Chambers, David S., *Understanding Statistical Process Control*, Second Edition, SPC Press, © 1992, Chapters 1-5.

EXAMINER

DATE CONSIDERED

EXAMINER DATE CONSIDERED
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in
consideration and not considered. Include copy of this form with next communication to applicant.

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FORM PTO-1449
 LIST OF PATENTS AND PUBLICATIONS
 FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

ATTY DOCKET: 9116-552
 SERIAL NO.: To be Assigned
 APPLICANT(S): Bakes et al.
 FILING DATE: Herewith
 GROUP: To be assigned

FOR: METHOD AND SYSTEM FOR OPTIMIZING PRODUCT INVENTORY LEVELS

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Exam Init.		DOCUMENT NUMBER						DATE	NAME	CLASS	SUB CLASS
		5	9	5	3	7	0	7	09/14/99	Huang et al.	
		5	9	6	3	9	1	9	10/05/99	Brinkley et al.	
		6	0	0	6	1	9	6	12/21/99	Feigin et al.	
		6	0	0	6	2	0	2	12/21/99	Ramaswamy et al.	
		6	0	2	1	3	9	6	02/01/00	Ramaswamy et al.	
		6	0	3	2	1	2	5	02/29/00	Ando	
		6	0	7	8	9	0	0	06/20/00	Ettl et al.	
		6	1	4	4	9	4	5	11/07/00	Garg et al.	
		6	1	5	1	5	8	2	11/21/00	Huang et al.	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER						DATE	COUNTRY	CLASS	SUB CLASS

OTHER ART (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

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